presents

USETDA 2013
Waves of Change
Claremont Colleges; Claremont, California
July 24 - 26, 2013

ProQuest

USETDA 2013
Platinum Sponsor
PROGRAM GUIDE

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Access the USETDA conference planner online and on your phone!
lanyrd.com/2013/usetda13

For more information about USETDA, please visit:
www.usetda.org

Cover photograph:
“Long Beach Breakers” from the Boynton Collection of Early Claremont, Claremont Colleges Digital Library
ccdl.libraries.claremont.edu
Dear Conference Delegate,

The USETDA 2013 Conference Planning Committee is delighted to welcome you to Claremont, California and to the 3rd annual USETDA conference. The conference will focus on relevant and current ETD issues with an emphasis on providing support for ETD professionals by encouraging the sharing of best practices, enhanced productivity, improved internal/external communications, and the formation of state-wide ETD associations. Session topics will include ETDs and technology, ETD operations in the graduate school and libraries, copyright and open access, ETD initiatives, enhancing the ETD profession, ETD education and ETD research to practice.

This year we have an outstanding lineup of experts who will share their visions of the future of electronic scholarly publishing. Keynote speakers include Char Miller (Director of the environmental analysis program at Pomona College) and Sarah L. Shreeves (Coordinator for the Illinois Digital Environment for Access to Learning and Scholarship (IDEALS) at the University of Illinois at Urbana-Champaign). Pre-conference workshops include “Copyright and Authoring Issues for ETD Professionals” (fee required) and 2 free sessions on “ETDs for Beginners” and “ETD Life Cycle Management.” Notable breakout session topics include preservation, intellectual property issues, post-graduate publishing, national practices and future trends. The Technology Fair will feature vendor exhibits and representatives from commercial and non-profit companies; a variety of graduate school and library sponsors as well as poster exhibits and presenters. Conference activities will include presentations from prominent librarians, graduate school administrators, information technology specialists and technology solution providers from around the nation. In total there will be 2 plenary sessions, 27 breakout presentations as well as 7 poster exhibitors.

There are also plenty of networking and social opportunities to further stimulate and engage you. In addition to breakfast and lunch networking opportunities, the conference will provide spectacular venues including Wednesday evening’s opening reception at “Seal Court” on the campus of Claremont Colleges – and Thursday evening’s “Dine-Around” with colleagues in Claremont Village. After the conference activities adjourn, be sure to take some time to enjoy the beautiful cities of Anaheim and Los Angeles as well as the many spectacular natural wonders of the region while you are here. Should you have any questions, please feel free to stop by the information desk located outside the plenary assembly room.

Acknowledgments

The Conference Organizers would like to thank everyone involved in helping to make the USETDA 2013 Conference such a tremendous success. Much gratitude is owed to our major sponsor ProQuest/UMI; their generosity is greatly appreciated. A special thanks to our other sponsors Aries Systems, Claremont University Consortium Libraries, Renaissance Scholarly Communications, Texas A&M University Office of Graduate Studies & Libraries, University of Alabama Graduate School, University of Florida Graduate School and the Virginia Tech University Libraries for their financial support and assistance in developing an exceptional Conference venue. Special thanks to the USETDA Board members and the California ETD Interest Group (CAETD) Conference Programming and Local Organizing Committees, who have spent countless hours managing all the various details that an endeavor such as this requires. Congratulations on an outstanding job!

We would also like to thank you, the participants, for your interest and belief in “Waves of Change – Oceans of Opportunity” and the open access vision. Together, with the help of each of you and your institutions, we are transforming the future of scholarly communications.

We wish you an enjoyable and enriching conference,

Melissa Cardenas-Dow, Frances Chu, Crystal Goldman, John Hagen, Laura Hammons, Chris Jones, Angela McCutcheon, Carmen Mitchell, Bobby Parker, Marisa Ramirez, Brena Smith, Allegra Swift, Stacy Wallace, Israel Yanez

USETDA 2013 Conference Planning Committee
Local Information

Dining Ideas in Claremont

Bua Thai Cuisine
450 W 1st Street
Claremont
(909) 626-6666

Tutti Mangia Italian Grill
102 Harvard Avenue
Claremont
(909) 625-4669

Back Abbey
128 N Oberlin Avenue
Claremont
(909) 625-2642

Union on Yale
232 Yale Avenue
Claremont
(909) 833-5104

Eureka! Burger
580 West 1st Street
Claremont
(909) 445-8879

Viva Madrid
225-B Yale Avenue
Claremont
(909) 624-5500

Kingkong Sushi
300 Indian Hill Blvd
Claremont
(909) 621-2772

Pizza N Such
202 Yale Avenue
Claremont
(909) 624-7214

Petiscos
211 West 1st Street
Claremont
(909) 625-5557

Shopping & Fun

Raymond M. Alf Museum of Paleontology
1175 West Baseline Road
Claremont
(909) 624-2798

Ruth Chandler Williamson Gallery
Scripps College
Claremont
(909) 607-4690

The Village at Claremont
Over 150 shops, galleries, restaurants and more
Claremont

Rancho Santa Ana Botanic Garden
1500 North College Avenue
Claremont
(909) 625-8767

Claremont Hills Wilderness Trail
North Mills Avenue
Claremont

Disneyland
1313 Disneyland Drive
Anaheim
(714) 781-4565

Need More Ideas? Click Here

• ClaremontCalendar.com
• ClaremontChamber.org
• ClaremontHeritage.org
• DiscoverClaremont.com
• FolkMusicCenter.com
• TripAdvisor.com
• TheVillageClaremont.com
• Yelp.com
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<thead>
<tr>
<th>Time</th>
<th>Event</th>
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<tbody>
<tr>
<td>7:30am-4:00pm</td>
<td>Registration desk (Gloria McClintock Holden Court, Malott Commons), Vendor Fair (Kravis Center, Lower Court 63)</td>
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<tr>
<td>8:00-9:00am</td>
<td>Breakfast (Scripps College, Hampton Room, above the Malott Dining Room - Building 15)</td>
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<tr>
<td>9:00am-12:00pm</td>
<td>Workshop: Copyright and Authoring Issues for ETD Professionals/Clement (Free of charge)</td>
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<tr>
<td>9:00am-12:00pm</td>
<td>Workshop: ETDs for Beginners/Hagen (Free of charge)</td>
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<tr>
<td>9:00am-12:00pm</td>
<td>Workshop: ETD Life Cycle Management/Schultz (Free of charge)</td>
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<tr>
<td>12:00-1:30pm</td>
<td>Welcome and Lunch (Scripps College, Hampton Room, above the Malott Dining Room - Building 15)</td>
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<tr>
<td>1:30-2:30pm</td>
<td>Comprehensive Study of National ETD Practices/McMillian, Halbert, Stark</td>
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<tr>
<td>1:30-2:30pm</td>
<td>Best Practice: Integrating Learning Management Systems to Facilitate Electronic Theses and Dissertations Workflow and Student Training/Tague, Hancock, Armbruster</td>
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<td>1:30-2:30pm</td>
<td>Searching for a Safe Harbor: Investigating LOCKSS as a Digital Preservation System for ETDs/Wyngard</td>
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<td>2:30-2:45pm</td>
<td>Break</td>
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<td>2:45-3:45pm</td>
<td>CSU Northridge ETD Workflow System/Wiegley, Fitzgerald, Vigna</td>
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<td>2:45-3:45pm</td>
<td>A Bigger Splash: Enhancing Discoverability of Theses via Digital Commons/Knight-Davis, Bruns</td>
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<td>2:45-3:45pm</td>
<td>Beyond Traditional Theses and Dissertations: Nontraditional Approaches to Organizing an ETD/Bauer, Winter</td>
</tr>
<tr>
<td>3:45-4:00pm</td>
<td>Break</td>
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<tr>
<td>4:00-5:00pm</td>
<td>Copyright Consultation for ETD Authors at the UCLA Library/Brennan, Riggio, Gurman</td>
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<tr>
<td>4:00-5:00pm</td>
<td>Making Today's ETDs Available to Future Scholars/Donovan</td>
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<tr>
<td>4:00-5:00pm</td>
<td>Vireo 2.0: An Evolving Partnership in the Services of ETDs/Steans, Hammons, Park, Cooper</td>
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<tr>
<td>5:30-7:00pm</td>
<td>Opening Reception (Scripps College, Seal Court)</td>
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**Thursday, July 25**

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<th>Time</th>
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<tr>
<td>8:00am-4:00pm</td>
<td>Registration desk (Gloria McClintock Holden Court, Malott Commons), Vendor Fair (Kravis Center, Lower Court 63)</td>
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<td>8:00-9:15am</td>
<td>Breakfast (Scripps College, Hampton Room, above the Malott Dining Room - Building 15)</td>
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<tr>
<td>9:15-10:45am</td>
<td>Keynote: Char Miller - Pedagogical Impact of Open Access on Undergraduate Work (Scripps College, Hampton Room, above the Malott Dining Room)</td>
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<tr>
<td>10:45-11:15am</td>
<td>Poster Session (Claremont McKenna College, Kravis Center Lower Court 63)</td>
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<tr>
<td>11:15-11:30am</td>
<td>Break</td>
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<tr>
<td>11:30am-12:30pm</td>
<td><strong>Presentation A)</strong> One Tool to Rule Them All? An Evaluation of Bibliographic Control for American ETDs/ Clement. <strong>Presentation B)</strong> OATD.org: A Harvested Discovery Service for Open Access Theses and Dissertations/Dowling</td>
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<tr>
<td>11:30am-12:30pm</td>
<td>Emerging Trends in ETD Publishing Models: A Bird's Eye View/Seitz</td>
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<tr>
<td>11:30am-12:30pm</td>
<td>Riding the Waves: Handling the Ebb and Flow within an ETD Office without letting the Undertow Overtake You/Wallace</td>
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CONFERENCE AT A GLANCE

THURSDAY, JULY 25

<table>
<thead>
<tr>
<th>Time</th>
<th>Claremont McKenna College Kravis Center, Lower Court 62</th>
<th>Claremont McKenna College Kravis Center 102</th>
<th>Claremont McKenna College Roberts North 15</th>
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<tbody>
<tr>
<td>12:30-1:30pm</td>
<td>Lunch and Announcements (Scripps College, Hampton Room, above the Malott Dining Room - Building 15)</td>
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<tr>
<td>1:30-2:30pm</td>
<td>Getting Superior Work in the IR: A Self-supporting Loop/Lowe, Stone</td>
<td>Navigating the Rough Waters of Change: The New OhioLINK ETD Service/Haines, Hicks, Green, Stewart-Marshall</td>
<td>Designing for Dual Submissions of ETDs: Finding What Works/Bolton</td>
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<tr>
<td>2:30-2:45pm</td>
<td>Break</td>
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<tr>
<td>2:45-3:45pm</td>
<td>Breaking Down Barriers: Embedding ADA Compliance into your ETD Workflow to Increase Access/Mitchell</td>
<td><strong>Presentation A)</strong> Calm Waters and Smooth Sailing: Implementing Centralized and Decentralized ETD Submission Processes/Ramirez, Wyngard. <strong>Presentation B)</strong> Implementing an ETD Program at a Decentralized University/Reilly</td>
<td>University of California Libraries Provide Open Access and Preservation of ETDs/Lin, Hruska, Willet</td>
</tr>
<tr>
<td>3:45-4:00pm</td>
<td>Break</td>
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<tr>
<td>4:00-5:00pm</td>
<td>Regional ETD Association Meetings/Hammons</td>
<td>ProQuest ETD users group/Gayle Porter</td>
<td>ETD Peer Review – In the Cloud!/Showers</td>
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<tr>
<td>5:30pm</td>
<td>Dine Around Claremont Village</td>
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FRIDAY, JULY 26

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<tr>
<th>Time</th>
<th>Claremont McKenna College Kravis Center, Lower Court 62</th>
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</table>
| 9:00-10:00am  | **Presentation A)** The Role of Dissertation Data in Understanding the Changing ETD Landscape/Diaz  
**Presentation B)** Usage metrics for ETDs: Demonstrating the Value of Dissemination/Han, McLean | Collecting the “Gray Literature” of ETDs: Master’s Projects at San Jose State University/Goldman, Higgins | Do ETDs Diminish Future Publishing Opportunities? What the Publishers Say/Ramirez |
| 10:05-11:05am | Automating ETD Deposit to IRs: Pathways and Pitfalls/Walker, Collier, McLean | Senior Theses and Undergraduate Research: Is There a Concerted Effort to Collect Undergraduate Scholarship?/Swift | Riding the Restriction Wave at the University of North Texas: Comparing IR and ProQuest embargoes from ETD Program Inception to the Present/Kleister, Almeneh, Short |
| 11:10am-12:30pm | Sarah Shreves - Changing Tide of Scholarship, Opportunities and Challenges with ETDs | Lunch and Closing Plenary (Scripps College, Hampton Room, above the Malott Dining Room - Building 15) |                                          |

LIBRARY TOURS

To be announced

The Claremont Colleges Library Tours

Please be sure to sign-up for one of the several scheduled tours of the Claremont Colleges Library. The times and days of the tours will be announced at the Welcome Lunch on Wednesday, July 24.

Your tour:
Char Miller is the director of the environmental analysis program at Pomona College and the W. M. Keck Professor of Environmental Analysis. He received his Ph.D. from Johns Hopkins University and his B.A. from Pitzer College. He is the author of the award-winning Deep in the Heart of San Antonio: Land and Life in South Texas and Gifford Pinchot and the Making of Modern Environmentalism. Most recently, Miller published Public Lands, Public Debates: A Century of Controversy (2012) and is co-editor of Between Ruin and Restoration: An Environmental History of Israel (2013). His latest books include On the Edge: Water, Immigration, and Politics in the Southwest (2013) and Seeking the Greatest Good: The Conservation Legacy of Gifford Pinchot (2013) and the co-authored Death Valley National Park: A History (2013). Miller is a regular contributor of essays, commentary, and reviews to professional journals, newspapers, and online media. His blog, Golden Green, explores environmental issues in California and the West for KCET.org.

Miller joined the Environmental Analysis Program in 2007 after a 26-year stint at Trinity University in San Antonio. This five-college major is deeply interdisciplinary in its focus – which means that they have seniors writing theses in the sciences, social sciences, and humanities. Working closely with the Honnold Library staff has been essential to the wrangling of this array of disciplines and topics into some coherence, giving the students a much sharper understanding of the work that they have embarked on. Knowing that their work is going to be archived with public access provides a clarifying urgency to their research and writing, which will be the subject of his talk.

Sarah Shreeves is the Coordinator for the Illinois Digital Environment for Access to Learning and Scholarship (IDEALS), a set of services and collections supporting scholarly communication (including the institutional repository) at the University of Illinois at Urbana-Champaign. She is also the co-Coordinator for the Scholarly Commons, a space for expert, interdisciplinary research support services and open workshops for faculty and graduate students to develop skills in areas such as digital content creation, management of research data, understanding copyright issues and author rights, and working with geospatial and numeric data. She is responsible for working with faculty, students, and researchers on a range of scholarly communication issues including author rights, open access, theses and dissertations, data management, and data curation.

She is active in the next phase of development of the DMPTool as funded by the Alfred P. Sloan Foundation and is serving as the co-Program Chair for Open Repositories 2013. Sarah has a BA in Medieval Studies from Bryn Mawr College, an MA in Children’s Literature from Simmons College, and a MS in Library and Information Science from UIUC. She regularly speaks and publishes on scholarly communication issues, institutional repositories, and on the social and technical barriers to metadata interoperability. She can be found on Twitter at @sshreeves.
**Session Abstracts**

**Preconference Workshops**  
**Wednesday, July 24 9:00am - 12:00pm**

**Copyright and Authoring Issues for ETD Professionals ($50 additional registration required)**  
Gail Clement (Texas A&M)  
This highly interactive workshop focuses on the copyright and authoring issues encountered by stakeholders in the ETD submission, management, and publication process. Building on copyright essentials such as copyright ownership and inclusion of third party works in the ETD (which were covered in the USETDA 2012 pre-conference), this program will consider additional questions such as ownership of copyright in multi-authored articles produced by the ETD author and inclusion of previously published articles in the ETD submission. A case study approach will provide the opportunity to consider and question issues such as negotiating with publishers and the ethics of authorship in multi-authored works.

**ETDs for Beginners (Free of charge)**  
John H. Hagen (Renaissance Communication)  
The successful implementation of electronic thesis and dissertation programs on campus can at first, seem to be a daunting task. This United States Electronic Thesis and Dissertation Association primer will prove extremely helpful in assisting you through this process. Armed with a team of experts, the “ETDs for Beginners” session will introduce you to concepts such as the myriad of free resources available through the USETDA and NDLTD. Topics will include ETD implementation and political strategies, discussion of various models of homogrown ETD systems versus pre-packaged open source and commercial repository systems, ETD office automation strategies, the role of university libraries and graduate schools in ETD program implementation and archiving, intellectual property issues as well as explore the usefulness of and approaches to consortia and networking to build ETD programs. This 3-hour workshop is open to rookies as well as veterans who would like a refresher on latest basic ETD concepts and resources. Get more out of attending the USETDA Conference by attending this free session.

**ETD Life Cycle Management (Free of charge)**  
Matt Schultz (Educopia Institute)  
This is a first-of-its-kind opportunity for ETD stakeholders and professionals (both new and advanced) to benefit from the latest research and development regarding the full life-cycle management of ETDs. The outcomes from this workshop will include comprehensive awareness-raising of the full range of activities required for the curation and preservation of ETDs, an introduction to various modular curation tools for ETD programs, as well as a rich opportunity to make rewarding connections with other ETD stakeholders and professionals through focused interactions and discussion. This research and workshop is supported by a 2011-2013 Institute of Museum and Library Services (IMLS) grant.

**Wednesday, July 24 1:30 - 2:30pm**

**Comprehensive Study of National ETD Practices**  
Gail McMillan (VA Tech), Martin Halbert (University of North Texas), Shannon Stark (University of North Texas)  
While ETD programs are now longstanding and well accepted in academia, there has not been a comprehensive baseline or ongoing survey of ETD programs. The leadership of the NDLTD (the Networked Digital Library of Theses and Dissertations), Virginia Tech, and the University of North Texas partnered to conduct a broad study of programs and practices at academic institutions nationwide regarding ETDs. This study supplements prior surveys that have not been as comprehensive in studying ETD trends and issues. The authors created a survey that documented current programs, practices, and problem areas reported by institutions, whether they are building a new ETD initiative or have established programs. This is the first year of what is intended to be a longitudinal study that will recurrently document the progress of ETD programs. It is our goal to repeat this survey every two years and to post the results widely in easily accessible open access repositories such as that of the NDTLD. This presentation will provide the first public look at comparative information for all ETD stakeholders in the form of more comprehensive information regarding ETD issues in the field. This survey is an outgrowth of a two-year grant funded by the U.S. Institute for Museum and Library Science.

**Best Practice: Integrating Learning Management Systems (LMS) to Facilitate Electronic Theses and Dissertations (ETD) Workflow and Student Training**  
Larry L. Tague, Shirley A. Hancock, David L. Armbruster (University of Tennessee)  
LMS(s) can facilitate ETD workflows and student training/assistance. After proposing an LMS for managing ETD workflow (NDLTD ETD Symposium 2000), we started adapting our campus BlackBoard (Bb) to our ETD workflow needs (2005). Bb and Adobe Connect (AC) integration has become the center of everything ETD; document management, email, training, and student assistance across the entire ETD workflow. Before mandating ETDs in 2008 (2005 to 2007), Bb contributed to an exponential increase in the number of ETDs submitted (4, 9, 29). Our current ETD load (49/yr) has necessitated our adaption of individual Bb student groups. Each group has private email, file exchange, discussion functions, and includes the student and all members of our review team. The Assistant Dean monitors all group communications and student progress. Bb automatic email subject coding and controlled user subject naming helps reviewers track reviews. Students use their group discussion board from which ETD FAQs can be extracted. All ETD instruction, document templates, and graduate survey forms now reside in our ETD Bb training site. Student ETD training is monitored with the Bb user utilities. Face-to-face ETD workshops have been moved to Adobe Connect (AC) to better serve remote students. AC workshops are recorded and linked to our ETD Bb training site. AC is also used to assist students in real-time. The conclusion, after reviewing 270+ ETDs, is that single or multiple LMS(s) can be used to improve ETD workflow and student training.

**Searching for Safe Harbor: Investigating LOCKSS as a Digital Preservation System for ETDs**  
Michele Wyngard (Cal Poly, San Luis Obispo)  
In fall of 2012, the Robert E. Kennedy Library (REKL) began investigating long term digital preservation methods as an alternative to microfiche for the preservation of their growing electronic theses and senior project collections.
Michele Wyngard led the investigation, which resulted in a report discussing REKL digital preservation requirements for ETDs, as well as purchased and locally-created digital content, the digital preservation options currently available, and the pros, cons, and costs of each option, with a strong emphasis on LOCKSS (Lots of Copies Keep Stuff Safe).

The presenter will discuss the process used to determine REKL’s need for digital preservation and the digital networks available, focusing on LOCKSS.

The audience will gain an understanding of LOCKSS, its opportunities and limitations as they apply to the digital preservation of ETDs, and the knowledge of how to conduct a similar investigation at their own organization.

**Wednesday, July 24 2:45 - 3:45 PM**

**CSU Northridge ETD Workflow System**
Jeff Wiegley, Steven Fitzgerald, Kate Vigna
(California State University, Northridge)

In 2008, CSU Northridge began a project to computerize the campus' thesis and dissertation processes. Economic and social pressures for increased efficiencies in a variety of campus procedures led to the identification of an opportunity for significant improvements with minimum cost using web application technologies to create a comprehensive electronic theses and dissertation system. In our presentation, we will provide an overview of the system, highlight lessons learned from an organizational perspective, and offer insights into future improvements.

The project was unique compared to other ETD digitization processes in that, in addition to final electronic preservation, the resulting system was also designed to implement end-to-end business workflows for a variety of constituent groups. The system was designed primarily to reduce costs to students. Our system eliminates the need for students to purchase and print bound copies of their theses for publication or preservation. Students and faculty were provided with an on-line system for the creation of committees, thesis planning forms, format evaluation services, and final publication. Graduate studies and library personnel were provided with decreased workloads through automation, flexibility in time, and human resources. The system results in significant reduction in workloads for all involved campus units; specifically graduate studies and the library.

**A Bigger Splash: Enhancing Discoverability of Theses via Digital Commons**
Stacey Knight-Davis, Todd Bruns (Eastern Illinois University)

Eastern Illinois University, a medium-sized public comprehensive university, has offered digitized Masters theses since 2008. Deposited these are fully cataloged in the local online catalog, a shared statewide catalog, and in WorldCat.

In 2011 Booth Library launched the EIU institutional repository, The Keep. The Keep is built upon the bepress Digital Commons platform, and is being utilized as a research and scholarship showcase as well as an electronic University Archives for EIU. The digitized master’s thesis collection presented a ready-made early document collection for the repository, giving The Keep a “jump start” in scholarly content. Early discussions about the collection included arguments about increased access via The Keep’s search engine optimization, arguments that were initially treated with some skepticism: Since the theses were already discoverable via local, consortial, and worldwide catalogs, having them in The Keep as well couldn’t increase access by that much, could it?

Analysis of server logs and Digital Commons reports has in fact shown a dramatic increase in discoverability of the theses due to the institutional repository. Some theses have seen download increases by factors of ten. Also of interest, the topics of theses retrieved through the institutional repository are quite different than those retrieved through the catalog. This presentation will highlight this increase by looking at real numbers and statistics, giving some weight to the common assumption that institutional repository open access does dramatically improve discoverability.

**Beyond Traditional Theses and Dissertations: Nontraditional Approaches to Organizing an ETD**
Nathalia Bauer, Debra Winter (University of Central Florida)

Nearly two decades after their emergence, ETDs are now widely embraced. However, despite their forward-sounding moniker, many ETDs still mimic their paper counterparts in function and appearance. This begs the question, what changes are leading to the next revolution in theses and dissertations?

Pressures to increase student publication, improve retention rates, shorten time to degree completion, and the desire to produce more relevant theses and dissertations, are all influences shaping the future of ETDs. Some programs and faculty members are also guiding students to create theses and dissertations more relatable to non-academic careers.

ETDs have been mandatory at the University of Central Florida (UCF) since 2004 and while publication has always been a desired academic goal, many graduate programs at UCF are now seeking ways to integrate publication as part of the thesis/dissertation process, and in some cases requiring it as a matter of policy. One UCF program is also requiring students to conduct dissertation research in conjunction with a “real world” scenario to be more applicable to their careers. Other examples of nontraditional ETDs include graphics-based and entirely digital formats.

Academic institutions must begin to consider how to react to the changing needs nontraditional ETDs present. This presentation will provide an overview of the influences leading to an increase in nontraditional ETDs, the results of research conducted on nontraditional ETDs at UCF, and the possible implications and issues nontraditional ETDs pose. This session is intended for those who advise students on the preparation of ETDs for submission.

**Wednesday, July 24 4:00-5:00 PM**

**Copyright Consultation for ETD Authors at the UCLA Library**
Martin J. Brennan, Angela Riggio, Diane Gurman (University of California, Los Angeles)

The UCLA Library offers copyright and intellectual property consultations for degree candidates through its Scholarly Communication and Licensing unit. While the SCL librarians answer questions about a variety of copyright-related matters, those concerning ETDs are among the most common. This session will discuss frequently asked questions from these sessions and provide a range of possible responses. Topics include incorporating copyrighted material properly by employing fair use to the greatest extent possible, how to seek permission when necessary, strategies for protecting the student’s own copyright interests, and implications of the choice to make a dissertation available to third party retailers. The University of California embargoing procedures and policies will also be discussed. We will also explore how
ETD consultations can benefit the library by providing an opportunity for outreach, and why the library is a natural fit for these services. Time will be left at the end to address copyright questions from attendees. The speakers will be Angela Riggio, Head of the Scholarly Communication and Licensing Unit; Martin Brennan, Copyright and Licensing Librarian; and Diane Gurman, Scholarly Communication and Licensing Librarian.

Making Today’s ETDs Available to Future Scholars
William J. Donovan (Boston College)

Unlike physical dissertations for which secure and environmentally hospitable archival storage may suffice, ETDs have special stewardship needs. As technology evolves, today’s computing environment will someday become obsolete. A file format that is ubiquitous now, may one day become unreadable. Environmental disasters may damage the servers where ETDs are stored. Or, simple poor organization or metadata may effectively make an ETD hard to find or hard to be sure of its authenticity. These are predictable risks and can be mitigated by the curatorial practices discussed in this talk.

Specifically, we will address topics such as: file formats; data wrangling; distributed digital preservation; migration; complex content objects; and versioning. In the not so distant future, our handling of these issues will determine whether a researcher can still find a particular ETD, whether they can still open the file(s), whether their viewing experience will still be faithful to the author’s original work, and will still encompass the totality of the scholarly work, i.e. the ETD and any supplementary material.

It is hoped that this presentation will result in a deeper understanding and appreciation for the curation and digital preservation of ETDs and will motivate debate within the ETD community about what are the best practices and the tools needed to effectively curate ETDs.

Vireo 2.0: An Evolving Partnership in the Service of ETDs
Ryan J. Steans (Texas Digital Library), Laura Hammons (Texas A&M), Kristi Park (Texas Digital Library), Micah Cooper (Texas A&M)

Vireo Electronic Thesis and Dissertation Submission and Management software, developed by the Texas Digital Library as an open-source application, is a leading tool for graduate offices and academic libraries who accept, manage, and publish theses and dissertations online. During 2012, an evolving partnership among the TDL, the Vireo Users Group, and an emerging open-source community of developers produced two new versions of the Vireo software. These updates provide users with improved usability, greater customization options, and powerful new publishing features.

In this presentation, several stakeholders in the Vireo project will discuss the capabilities and limitations of Vireo, share the progress made with the application over the past year, and briefly discuss the new features and improvements in Vireo 1.8 and 2.0.

The panel will also discuss the Texas Digital Library’s relationship to Vireo as (1) a development partner on the project, (2) a Vireo hosting solution for its member institutions, and (3) an organizer, in collaboration with the Vireo Users Group, of the growing open-source community around Vireo. Finally, the panel will reflect on the evolution of Vireo and share a vision for future collaborative work on the software.

Thursday, July 25  10:45-11:15am - Poster Sessions

Analyzing Student Satisfaction with the Texas A&M University Thesis Office
Christine E. Dromgoole

Moving to New Repository Software
Patrick Newell, Maria Pena

Creating a User-centric ETD Experience: How Small Changes Can Make a Big Impact
Bethany Nash

Helping Graduate Students Make Sense of Fair Use in Their ETDs
Sue Ann Kunda

Opportunities Abound: ETDs as Harbingers of Institutional Change
Devin Higgins, Aaron Collie, Lucas Mak, Shawn Nicholson

Land Ho!: Navigating the Rocky Shoals of ETD Preparation and Submission to Arrive at the Island of Published ETDs and Happy Graduates
Teresa M. Green

Thursday, July 25  11:30am-12:30pm

OATD.org - A Harvested Discovery Service for Open Access Theses and Dissertations
Thomas Dowling (Wake Forest University)

Professionals working on ETDs have historically focused on production and archiving issues. Establishing new workflows; creating institutional repositories; sorting out licensing and copyright issues; championing the very idea of online access, let alone open access to the scholarly content in our theses - this is a full plate for anybody.

But this set of services leaves an important opportunity unrealized. At a time when libraries are putting unprecedented effort into improving the ability of their researchers to find and use their catalogs, subscribed journals, and other resources, little effort has gone into making the body of open access theses and dissertations easier to find and use.

This presentation introduces Open Access Theses and Dissertations (OATD - http://oatd.org), a harvested discovery service for the open access content in the ETD literature. OATD harvests ETD collections from over 600 universities, with both OAI-PMH metadata harvesting and web crawling; makes site-specific rules to differentiate between open- and closed-access ETDs; and adds full-text search highlights and sample images from a growing number of ETDs. Goals for OATD include community involvement through a steering committee of ETD professionals, continual refinement of the user experience, and exhaustive, global coverage of open access theses and dissertations.

The presentation will discuss the need for the OATD discovery service, especially by comparison with currently available search services, how the OATD harvests and indexes data, metadata issues, and the future path(s) of the service.
This paper presents preliminary findings of research investigating the completeness of various search and retrieval services that purport to provide bibliographic control of American theses and dissertations. The coverage of each respective search tool is compared against the known output of 20th century dissertations. Model searches run in each service demonstrate that no single tool currently provides comprehensive access to American theses and dissertations; rather, each provides a particular slice, offering both strengths and limitations. The conclusion of this research suggests that the need for an all-inclusive portal of American ETDs is greater than ever, as students exercise their options to submit their graduate works to an ever-expanding range of web discovery services.

Emerging Trends in ETD Publishing Models: A Bird’s Eye View
David Seitz (bepress Consulting Service)

Big changes are afoot at graduate schools around the country. They are not just changing how they collect and provide access to theses and dissertations; they are also streamlining the submission and review workflows themselves. In this presentation, bepress’ ETD expert Dave Seitz will provide an overview of the ETD publishing landscape culled from the bepress Digital Commons community (280 institutions). The presentation will consider the “life cycle” of an ETD in its myriad forms, ranging from standard processes such as administrator-submitted batch uploads, to more progressive workflows, such as those utilizing student-submission and peer-review tools. Dave will share how these different approaches to the workflow, organization, and presentation of ETDs meet unique needs on campus. Paths to the inclusion of undergraduate work (capstones, honors projects, etc.) will also be discussed.

The presentation is intended for both graduate office administrators and library professionals. Attendees will come away with not just an understanding of the top models that are emerging for capturing, reviewing, and presenting ETDs but also a set of considerations for optimizing their own ETD “life cycle”.

Riding the Waves: Handling the Ebb and Flow Within an ETD Office Without Letting the Undertow Overtake You
Stacy Wallace (University of Florida)

Here at the University of Florida, we have participated in the ETD arena for nearly fifteen years. Our editorial office is tasked with reviewing between 500 and 600 master’s theses and doctoral dissertations each term. During our review process, we not only provide tips regarding general formatting guidelines and direction but also provide a wide range of grammatical and editorial review comments as well. With the constraints of having only two full-time editors and the propensities of students to wait until submission deadlines to surrender their documents for our review, we have found that at times, we have only a few business days to review hundreds of documents.

Adhering to these protocols within our constricted time frame has proven to be quite a difficult task for our office. Our office has had to develop ways to quickly meet the needs of our students without sacrificing the quality of the documents of which we oversee. Accordingly, we have developed an extensive template document for all of our students to use. This template includes hundreds, if not thousands, of standard review comments. Each of these comments can quickly be inserted by any of our editors into their review comments using Microsoft Word’s Auto Text, Quick Parts, and Building Block features. During this presentation, I would like to share our template with you in the hopes that you will find ways to streamline your processes as well. Moreover, I will demonstrate how to build a similar review template for your students and staff.
Designing for Dual Submission of ETDs: Finding What Works
Philip Bolton Jr (Florida International University)

At FIU, after deciding on a mandatory ETD Policy in July 2011, we considered moving from a payment/snail mail submission to altogether scrapping submission to ProQuest; however, our librarians made a case for keeping at least an option for ProQuest submission. After consideration of all the options, implementing the UMI ETD Administrator seemed the most logical because it relieves payment, paperwork, and snail mail.

Unfortunately, the UMI ETD Administrator creates as many problems as it solves e.g., the dual submission. We felt the university should offer to make additional deposits outside of the institutional repository. Thus, we sought to find a way for the students to only submit once to our Digital Commons Institutional Repository even though this path required staff time to submitting to the Administrator.

Attendees from institutions considering the ETD Administrator, should expect to anticipate and solve several issues associated with implementing the system in conjunction with an institutional repository. Attendees working with both the ETD Administrator and an Institutional Repository should expect to gain new ideas for eliminating a dual submission for students, a quicker publication turn around, and/or decreased workflow time.

Breaking Down Barriers: Embedding ADA Compliance into your ETD Workflow to Increase Access
Carmen Mitchell (California State University, San Marcos)

Enacted in 1990, the Americans with Disabilities Act (ADA) requires that U.S. programs and services be accessible to individuals with disabilities. In 1996, a Department of Justice ruling makes it clear that ADA accessibility requirements apply to Internet resources. Breaking Down Barriers: Embedding ADA Compliance into your ETD Workflow to Increase Access explains how Cal State San Marcos incorporated ADA compliance into the workflow when they transitioned from a paper thesis and dissertation process to electronic. ADA compliance is now a campus requirement for all theses, graduate projects, and dissertations.

Graduate students are offered workshops on creating ADA compliant files, including training on what the word “accessible” means and learning how some assistive technologies work. The library partners with Academic Technology Services on the workshops, and promotes them through the graduate programs, social media, and the library website.

For students who still struggle with making their files ADA compliant, one-on-one consultations on ADA compliance are offered. These one-on-one sessions are paid for with the thesis fee that each graduate student pays to the campus. Additional ETD tools for students include a “thesis checklist” as well as online tutorials and videos.

By requiring ADA compliance in ETDs, Cal State San Marcos is educating students on potential pitfalls that are faced by people with disabilities, as well as supporting the mission of the university as an academic community dedicated to intellectual engagement, community, integrity, innovation, and inclusiveness.

Calm Waters and Smooth Sailing: Implementing Centralized and Decentralized ETD Submission Processes
Marisa Ramirez, Michele Wyngard (Cal Poly, San Luis Obispo)

From the outset, DigitalCommons@CalPoly, Cal Poly’s institutional repository, served as the university’s digital archive, providing access to a variety of scholarly content including graduate and undergraduate work.

Shortly after gaining access to the bepress-hosted software platform, a centralized electronic submission workflow for theses was developed and implemented in fall 2008. In fall 2009, electronic undergraduate workflows were implemented for campus. Unlike the centralized thesis submission process, undergraduate work presented distinct decentralized workflow challenges.

Michele Wyngard and Marisa Ramirez will be sharing how they analyzed two different workflow processes for master’s theses and senior projects, and helped smoothly transition the campus’ centralized and decentralized workflows into an electronic environment.

In this session, attendees will learn how to get started with transitioning their campus from paper to electronic, including gaining a clear understanding of the paper workflows, identifying campus stakeholders, discovering factors influencing the workflow models, piloting proposed models, identifying needs for new supporting materials and policies, reviewing costs and student fees associated with support of electronic materials, and tips for launching the new electronic workflow to campus.

Implementing an ETD Program at a Decentralized University; Tricky, but Doable
Michele Reilly (University of Houston)

The University of Houston is a campus of 12 colleges all offering Master’s and doctorate level education. These programs are not directed by the Graduate and Professional Studies (GPS) department, thus making concentrated efforts and mandates extremely difficult, if not virtually impossible. The campus is so decentralized that even the authentication system was problematic.

Collaboration, team-building and technical support between the Library, the GPS, and the graduate staff of each college made the implementation of a successful ETD program possible. By developing a strategy of promotion, training of college staff advisors, faculty and students and enhancing buy in and open communication, the ETD team at the University successfully brought all the colleges on board with a minimum of hiccups. This panel presentation will discuss the strategy that worked well for us, including the history of the program, team building, teaching strategies, troubleshooting, benefits to staff and students, and workflow. The panel seeks to invite an open discussion on how you might be able to apply our experience to your institution and solicit feedback and lessons learned.

University of California Libraries Provide Open Access and Preservation of ETDs
Emily Lin (UC Merced), Martha Hruska (UC San Diego), Perry Willett (California digital Library)

The University of California (UC) Libraries began making electronic theses and dissertations publicly available through the California Digital Library (CDL) eScholarship service in 2011. The CDL ETD service integrates the digital preservation and curation tools available through the Merritt Repository Service with the open access, scholarly pub-
lishing tools available through eScholarship. Campuses submit ETDs to the CDL Merritt Preservation Repository and submissions are then programmatically harvested from the Merritt preservation repository for public access through eScholarship. Because each UC campus has its own set of policies and procedures for handling ETDs, the CDL ETD service can be customized to address the local needs of each campus.

This outcome is the result of significant development effort on the part of CDL as well as campuses to adjust tools and workflows to address the ingest and publication of ETDs. It is also the result of local campus collaboration between the library and graduate studies division to develop guidelines and policies for ETDs, including those addressing author rights and enabling public access.

The UC San Diego Library and the UC Merced Library were the first and second campuses to fully utilize the CDL preservation and access services for ETDs. This panel will share experience and perspective from a large, established, research-intensive UC campus as well as from the newest, smallest, and fully "e"-TD UC campus on their approach to developing and implementing policy, process, and support for ETDs. From a system-wide service perspective, the panel will discuss CDL development and implementation of technological infrastructure and support.

### Thursday, July 25 4:00-5:00PM

**ETD Peer Review – In the Cloud!**
Veronica Showers (Aries)

Editorial Manager® (EM), a cloud-based manuscript submission and peer review system with more than 5,000 journal customers and millions of registered users, is now available for ETD processing.

As a provider of innovative scholarly workflow solutions since 1986, Aries Systems has come to USETD to invite feedback from the ETD community about emerging workflow challenges and EM functionality for the review of theses and dissertations. Some of the features that cross over to EDT processing include: student submission workflows, student submission forms, faculty/staff student monitoring, committee discussion forums, similarity checking, reference checking, customizable reviewer forms, automated reminders, and an extensive suite of reporting tools.

**Regional ETD Association Meetings**
Laura Hammons (Texas A&M)

Are you interested in creating an ETD Association in your region? This is an informal opportunity to meet with other like-minded individuals to brainstorm ways to get a regional ETD association off the ground in your neck of the woods!

A loosely guided discussion will be coordinated by USETDA’s own Laura Hammons.

*ProQuest ETD users group meeting*
Gayle Porter (ProQuest)

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### Friday, July 26 9:00-10:00AM

**The Role of Dissertation Data in Understanding the Changing ETD Landscape**
Mike Diaz (ProQuest)

This session will explore newly available data on ProQuest’s archive and its potential to help libraries and graduate schools understand the changing landscape of ETDs and graduate research. By mining data and text from ProQuest’s extensive corpus of dissertations and theses, there is potential to generate new insight about graduate education trends and emerging research needs within and across disciplines. This data may also be useful for benchmarking and sharing of best practices among institutions. A review of current data and research will be followed by a discussion of new research topics, data, and methodologies with potential to create even greater value longer term.

Participants in this session will gain a deeper understanding of how dissertation data can illuminate ETD and graduate education trends and how new insights can potentially benefit libraries, institutions, and the global academic community.

**Usage Metrics for ETDs: Demonstrating the Value of Dissemination**
Yan Han, Austin McLean

An IMLS Grant was awarded in 2011 to develop and share a toolkit of guidelines, educational materials, and a set of software tools for ETD life-cycle data management. As part of this work, Yan Han, a librarian at the University of Arizona Libraries, has created a set of best practices around usage metrics for ETDs. Yan will review the best practice and recommend approaches (including collecting web statistics) to demonstrate usage metrics for students, faculty, libraries and graduate colleges. He will also present uses of statistics data.

ProQuest is in the process of developing a set of metrics that will answer the following questions:

- “How do I compare productivity of dissertations between my school and its closest peers?”
- “Are we publishing more than our peer universities in this subject over last few years?”
- “Who is accessing the dissertations published by students of my school?”
- “How does faculty productivity related to PhD and MA programs compare to other peer universities?”

Austin McLean, ProQuest Director of Scholarly Communication and Dissertation Publishing, will demonstrate usage metrics which contains data to answer those question above. By marrying longitudinal data with usage data from the ProQuest Dissertations and Theses database, universities will be able to glean new insights into productivity and usage of their graduate works.

**Collecting the “Gray Literature” of ETDs: Master’s Projects at San Jose State University**
Crystal Goldman, Silke Higgins (San Jose State University)

At San Jose State University (SJSU), master's Theses are ingested into the SJSU Scholar Works Institutional Repository (IR) via a centralized submission process administered by the Office of Graduate Studies & Research (GS&R). GS&R subsequently forwards metadata and affiliat-
In order to fulfill their degree requirements, SJSU graduate students are increasingly given the choice to complete a master's project instead of a Master's Thesis. While the majority of departments offer only one of the two options, a growing number allow students to choose between the two. Master's projects are not administered by GS&R, which means they have a decentralized submission process for inclusion in the IR. Thus, without the departments' continuous encouragement for students to submit their projects, these works will likely become lost to the scholarly community in a short period of time. Essentially, master's projects become the "gray literature" of SJSU's graduate research.

This presentation will discuss the educational programming and outreach efforts developed by the SJSU ScholarWorks repository coordinators. Attendees of the session will be introduced to effective ways of educating faculty, students, and administration on the advantages of placing master's projects in an IR, as well as how to streamline the overall ingestion process.

The discussion will highlight how to identify suitable departments, create meeting opportunities with graduate coordinators, and structure efficient promotion strategies that give to-the-point information about IRs and provide insight into how repositories benefit students, faculty advisors, and the university.

Do ETDs Diminish Future Publishing Opportunities? What the Publishers Say.
Marisa Ramirez (Cal Poly San Luis Obispo)

In academia, there has been a growing acceptance of sharing the final electronic version of graduate work, such as a thesis or dissertation, in an online discoverable repository managed by a university. Though previous studies have shown that journal editors are willing to consider manuscripts derived from electronic theses and dissertations (ETDs), faculty advisors and graduate students continue to raise concerns that increased online discoverability of ETDs will negatively impact future opportunities to publish those findings in a journal.

This presentation will share findings from recent research of social science, humanities and science publishers, and will leave attendees with a greater understanding of journal policies in relation to open access ETDs.

FRIDAY, JULY 26 10:05-11:05AM

Automating ETD Deposit to IRs: Pathways and Pitfalls
David Walker, Aaron Collier, (University of California); Austin McLean (ProQuest)

Many universities are looking for automated, dependable methods for transferring ETDs from student submission systems into university institutional repositories. In the past, this transfer process was a time consuming procedure, which involved university IT and library staff. Now there are several new, automated mechanisms for loading ETDs into IRs. SWORD (Simple Web-service Offering Repository Deposit) is a standard that operates using an interoperable framework and which facilitates the deposit of content from a variety of formats through multiple sources. SWORD focuses on the deposit of material, including ETDs and associated multimedia files, into a number of repository platforms, including DSpace, EPrints, and Fedora.

During this informative session, Austin McLean, Director of Scholarly Communication and Dissertation Publishing, ProQuest, will review the history of ETD deposits into university repositories and provide an overview of the SWORD protocol. David Walker, Director of System-wide Digital Library Services, and Aaron Collier, Digital Repository Manager, California State University system, will relay their experience implementing SWORD, with a focus on the pathways to follow and pitfalls to avoid. Challenges around depositing ETDs with embargoes will be addressed as will deposit into multiple URLs be discussed. Finally, an overview of in-development deposit systems and protocols will be reviewed.

The goal of the session will be to have attendees leave the session with a firm understanding of IR deposit methods, including SWORD. Attendees will be able to begin to determine if a SWORD implementation would be a good fit with their campus systems.

Senior Theses and Undergraduate Research: How is Undergraduate Scholarship Being Collected, Supported, and Shared?
Allegra Swift (Claremont Colleges)

Looking across college and university homepages, one notices the importance placed on the opportunities for undergraduates to be involved in important research projects. Academic institutions have discovered the benefits of drawing attention to these opportunities. Students benefit from recognition of their scholarly contributions; while the institutions benefit in terms of recruitment, assessment purposes and visibility of programs.

If undergraduate research is being used as a public relations tool, are these institutions adding value by collecting and disseminating their undergraduate research results? How invested are institutions in collecting undergraduate research and scholarship?

The intention of sending out the survey titled, "Senior Theses and Institutional Repositories," was to determine if campus involvement benefited collection efforts and if institution backed requirements and access levels had an effect on successful collection building of undergraduate research. This session will present the results of the survey and share the experiences of the 7 Claremont Colleges within the context of those results.

Riding the Restriction Wave at the University of North Texas: Comparing IR and ProQuest Embargoes from ETD Program Inception to the Present
Jill Kleister, Daniel Alemneh (University of North Texas) Dan Short (ProQuest)

At the University of North Texas, we have a mandatory PQ deposit requirement, but do not have an online ETD submission program. This means students must either select the correct boxes on the PQ form or contact PQ individually after deposit to inform them of their restriction requests. Lists of UNT restricted ETDs were compared to PQ restricted ETDs, so see how they match up. Academic discipline, type of degree, nationality, and other factors were compared.

Students frequently claim restriction is needed in order to assure publication of the research document. To access how this has worked out in real time, a Google Scholar search was performed on student authors who had requested UNT and/or PQ restriction, to see whether these students had any peer-reviewed publications since graduation, type of degree, academic discipline, and length of time to publication were tracked.
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ETD-db 2.0

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Migrate from ETD-db to ver. 2
Look for ETD-db 2.0 on GitHub. Contact Collin Brittle at rotated8@vt.edu

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The following information should be included in your institution’s bid:

- Hosting organization/institution(s)
- Rationale for hosting the conference
- Planned dates, please include proposed conference dates and explain your choice, including flexibility of proposed dates
- Venue (city, information on conference facilities, e.g., number and size of conference rooms, and availability of Internet access in conference rooms)
- Conference organization:
  - Level of logistical and financial support from host institution
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  - Distribution of responsibility for conference organization
  - Travel/transportation considerations
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- Proposed special activities
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The host institution will work closely with the USETDA board over the coming year to plan and execute all aspects of the 2015 conference.
The USETDA 2014 Conference Planning Committee is pleased to invite you to our 4th annual conference hosted by the University of Central Florida College of Graduate Studies and the University Libraries. USETDA 2014 will be held in Orlando, Florida, a marvelous destination for conference attendees. Venues are easily accessible by air, train, or car. Sunny Florida is the place to be during the Summer months, with many famous nearby attractions and recreational opportunities.

Florida has a lot to offer--from academic and cultural centers, theme parks and attractions to spectacular beaches, Everglades and night life.

We look forward to seeing you in Orlando, Florida in 2014!

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The University of Central Florida College of Graduate Studies will serve as the primary conference sponsor, with assistance from the UCF Libraries.

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